

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

35.C13989 DI

First Named Inventor or Application Identifier

TAIZOU HORI, ET AL.

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification Total Pages **35**

4.  Drawing(s) (35 USC 113) Total Sheets **08**

5.  Oath or Declaration Total Pages **01**

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*

i.  **DELETION OF INVENTOR(S)**  
Signed Statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:**

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8.  Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*

a.  Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i.  CD-ROM or CD-R (2 copies); or

ii.  paper

c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))

10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*  Power of Attorney

11.  English Translation Document *(if applicable)*

12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations

13.  Preliminary Amendment

14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*

15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*

16.  Other: Request For Approval To Amend The Drawings.  
Letter Transmitting Substitute Formal Drawings.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation  
Examiner T. Tran

Divisional  
Group/Art Unit. 2615

Continuation-in-part (CIP) of prior application No. 09/428,651

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**18. CORRESPONDENCE ADDRESS**

Customer Number or Bar Code Label **05514** (Insert Customer No. or Attach bar code label here) or  Correspondence address below

NAME			
Address			
City		State	
Country		Telephone	
		Zip Code	
		Fax	

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	26-20 =	6	X \$ 18.00 =	\$ 108.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	8-3 =	5	X \$ 80.00 =	\$ 400.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			\$270.00 =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$1218.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				
				TOTAL =	\$1218.00

19. Small entity status

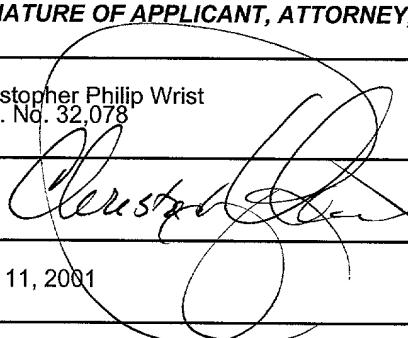
- a.  A small entity statement is enclosed
- b.  A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c.  Is no longer claimed.

20.  A check in the amount of \$1218.00 to cover the filing fee is enclosed.

21.  A check in the amount of \$ \_\_\_\_\_ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

- a.  Fees required under 37 CFR 1.16.
- b.  Fees required under 37 CFR 1.17.
- c.  Fees required under 37 CFR 1.18.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Christopher Philip Wrist Reg. No. 32,078
SIGNATURE	
DATE	July 11, 2001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Appln. of )  
Application No.: 09/428,651 :  
Filed: October 28, 1999 )  
: Examiner: Unassigned  
TAIZOU HORI, ET AL. )  
: Group Art Unit: Unassigned  
Application No.: Unassigned )  
: :  
Filed: Concurrently Herewith )  
: :  
For: VARIABLE-MODE )  
INFORMATION SIGNAL :  
REPRODUCTION APPARATUS )  
WITH TRACKING CONTROL :  
(AS AMENDED) ) July 11, 2001

Commissioner For Patents  
Washington, D.C. 20231

REQUEST FOR APPROVAL TO AMEND THE DRAWINGS

Sir:

In accordance with U.S. Patent and Trademark Office procedures, enclosed are duplicate copies of informal drawings, with proposed amendments shown in red ink. Applicants respectfully request approval to amend the drawing as follows:

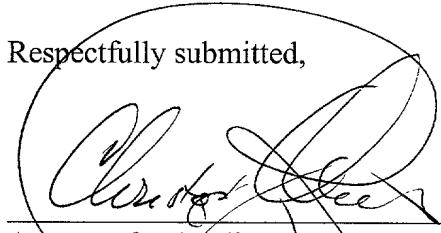
IN FIGURE 6

Change "SWITCH 28" to --SWITCH 27--.

Applicants submit the proposed amendments to the drawings merely conform the drawings and the written description in the original disclosure, and therefore merely are formal in nature. Favorable consideration is requested.

Applicants' undersigned attorney may be reached in our Washington D.C. Office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. 32,078

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

CPW\gmc

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Divisional Appln. of: )  
Application No. 09/428,651 : Examiner: Unassigned  
Filed: October 28, 1999 )  
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: Group Art Unit: Unassigned  
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(AS AMENDED) ) July 11, 2001

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Washington, D.C. 20231

LETTER TRANSMITTING SUBSTITUTE FORMAL DRAWINGS

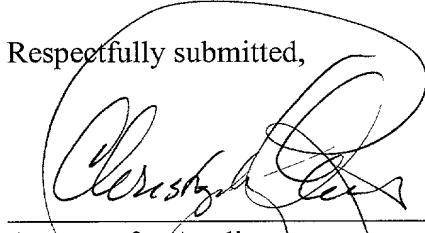
Sir:

Applicants concurrently file herewith a Divisional Application Under 37 CFR § 1.53(b) and hereby submit one (1) sheet of formal drawings (Sheet 5 of 8) that incorporates all necessary changes approved by the Examiner, in the Notice of Allowability (PTOL-37) Form that accompanied the Notice of Allowance in parent application no. 09/428,651 . Applicants request that the accompanying sheet be substituted for the corresponding sheet in the official file.

Applicants believe that no fees should be incurred in connection with filing this paper. However, the Commissioner is authorized to charge any fees associated herewith to Deposit Account No. 06-1205.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,

  
\_\_\_\_\_  
Attorney for Applicants

Registration No. 32,078

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